

UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box Pt. United States Patent and Trademark-Offic Washington D.C. 202

| U.S. APPLICATION NUMBER NO. | FIRST NAMED APPLICANT | ED APPLICANT ATTY DOCKET NO. | |
|-----------------------------|-----------------------|------------------------------|---------------|
| 09/936,588 | | NEB-163-PUS | |
| | | INTERNATIONAL AP | PLICATION NO. |
| • | | PCT/US00/14122 | |
| 28986 | | LA. FILING DATE | PRIORITY DATE |
| NEW ENGLAND BIOLABS, INC. | _ | 05/23/2000 | 05/24/1999 |

NEW ENGLAND BIOLABS, INC 32 TOZER ROAD BEVERLY, MA 01915

CONFIRMATION NO. 8694
371 FORMALITIES LETTER
OCC0000007676929

Date Mailed: 03/22/2002

NOTIFICATION OF DEFECTIVE RESPONSE

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fee
- Indication of Small Entity Status
- Priority Document
- · Biochemical Sequence Diskette
- Biochemical Sequence Listing
- Copy of IPE Report
- Copy of the International Application
- · Copy of the International Search Report
- Information Disclosure Statements
- Oath or Declaration
- · Preliminary Amendments
- . Request for Immediate Examination

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application
 by the International application number and international filing date. The current oath or declaration does
 not comply with 37 CFR 1.497(a) and (b) in that it:
 - The first through the fifth inventors' names, residences and citzenships have not been identified in the declaration.

Applicant is required to complete the response within a time limit of ONE MONTH from the date of this Notification or within the time remaining in the response set forth in the Notification of Missing Requirements, whichever is the longer. No extensi n of this time limit may be granted under 37 CFR 1.136, but the period for response set in the Notification of Missing Requirements may be extended under 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed

to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

KAREN M WILLIAMS

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PART 2 - OFFICE COPY

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FORM PCT/DO/EO/916 (371 Formalities Notice)